

# Certification of Health Care Provider for Serious Health Condition (FMLA) – Duke Employee

(Form 1002-E)

## Employee Statement

\_\_\_\_\_  
First Name                      Last Name                      Duke Unique ID                      Best Phone No.                      Shift  
(Days/Nights/Weekends)

\_\_\_\_\_  
Supervisor Name                      Telephone No.                      E-mail                      Fax No.

I authorize **Employee Occupational Health & Wellness**, or its representative, to contact the health care provider indicated on this form for clarification or authentication of any of the information below. I also authorize my health care provider to disclose the health information described in this Certification for the purpose of clarification. I understand that I can revoke the above authorization at any time by submitting a written request.

\_\_\_\_\_  
Employee Signature

\_\_\_\_\_  
Date

## Health Care Provider Statement

The above employee has requested leave under the FMLA. Please answer fully all applicable questions below and limit your responses to the condition for which the employee needs leave. Please be as specific as possible.

\_\_\_\_\_  
Health Care Provider's Name (Please Print)

\_\_\_\_\_  
Type of Practice

\_\_\_\_\_  
Telephone No.

\_\_\_\_\_  
E-mail

\_\_\_\_\_  
Fax No.

**GINA NOTICE:** The Genetic Information Nondiscrimination Act of 2008 (GINA) prohibits employers from requesting or requiring genetic information of an individual or family member of the individual, except as specifically allowed by this law. To comply with this law, we are asking that you not provide any genetic information when responding to this request for medical information. "Genetic information" as defined by GINA, includes an individual's family medical history, the result of an individual's or family member's genetic test, the fact that an individual or an individual's family member sought or received genetic services, and genetic information of a fetus carried by an individual or an individual's family member or an embryo lawfully held by an individual or family member receiving assistive reproductive services.

## Medical Facts

1. Is the medical condition pregnancy?  Yes  No

If yes, expected delivery date \_\_\_/\_\_\_/\_\_\_

2. Approximate date this medical condition began \_\_\_/\_\_\_/\_\_\_ Probable duration of condition \_\_\_\_\_

3. Was the employee admitted for an overnight stay in a hospital, hospice or residential care facility?  Yes  No

If yes: Date of admission \_\_\_/\_\_\_/\_\_\_ Date of discharge \_\_\_/\_\_\_/\_\_\_

4. Please list the three most recent date(s) you have treated the employee for this condition \_\_\_\_\_

5. Was medication, other than over-the-counter medication, prescribed?  Yes  No

6. Will the employee need treatment visits at least twice per year due to this condition?  Yes  No

7. Was the employee referred to other health care provider(s) for evaluation and/or treatment (e.g., physical therapist)?  Yes  No

If yes, state the nature and expected duration: \_\_\_\_\_

8. Please describe other relevant medical facts related to the condition for which the employee needs leave (such medical facts may include symptoms, diagnosis, or any regimen of continuing treatment such as the use of specialized equipment).

\_\_\_\_\_  
\_\_\_\_\_

**Amount of Leave Needed**

9. Is the employee unable to perform any of his/her job functions\* due to his/her condition?  Yes  No

\*Answer after reviewing statement of the employee's job functions or, if not provided, after discussing with the employee

If yes, identify the job functions the employee is **unable** to perform: \_\_\_\_\_

Indicate whether inability is:  continuous or  episodic

10. Was the employee or will the employee be incapacitated for a single continuous period of time, including time for treatment and/or recovery?  Yes  No

If yes, estimate the beginning and ending dates for the period of incapacity:

Begin date \_\_\_/\_\_\_/\_\_\_ Date employee can return to work \_\_\_/\_\_\_/\_\_\_

11. Is it **medically necessary** for the employee to have follow-up treatments/appointments for this condition?  Yes  No

If yes, estimate the treatment schedule: \_\_\_\_\_

12. Is it **medically necessary** for the employee to work part-time or on a reduced schedule because of this condition?  Yes  No

If yes, estimate part-time/reduced schedule:

\_\_\_ hour(s) per day; \_\_\_ day(s) per week from \_\_\_/\_\_\_/\_\_\_ through \_\_\_/\_\_\_/\_\_\_

13. Will the condition cause episodic flare-ups preventing the employee from performing his/her job functions?  Yes  No

14. Is it **medically necessary** for the employee to be absent from work during the flare-ups?  Yes  No

If yes, please explain: \_\_\_\_\_

\_\_\_\_\_

15. Are there job modifications that could be implemented during flare-ups to allow the employee to remain at work?  Yes  No

If yes, please list: \_\_\_\_\_

\_\_\_\_\_

16. Based upon the employee's medical history and your knowledge of the medical condition, please estimate **both** the frequency of flare-ups and the duration of related incapacity that the employee may have over the next 6 months (e.g., 1 episode every 3 months, lasting 1-2 days).\*\*

\*\*While it may be difficult to answer this question precisely, please give your best estimate of the frequency and duration of the flare-ups. If this information is not provided, the default frequency will be 4 times per year for 1 day.

Frequency: \_\_\_ times per \_\_\_ week(s) \_\_\_ month(s)

Duration per episode: \_\_\_ hour(s) or \_\_\_ day(s)

**Additional information related to question(s) above (please indicate question number):** \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Health Care Provider Signature

\_\_\_\_\_  
Date

**Health Care Provider: Return completed form to employee**